The Art & Science of K Grant Application Planning

Laying the groundwork for a successful K application

September 12, 2016









EMORY UNIVERSITY SCHOOL OF MEDICINE Department of Medicine

Department of Pediatrics

Announcement... K-Club Specials

Special Interest Funding Announcements

Emory CFDE Scholarly Writing & Publishing Fund

- **Deadline:** Rolling through 8/1/17
- Amount: Up to \$2500 for hiring editorial support or a writing coach

The Emory University Center for Faculty Development and Excellence (CFDE) offers the Scholarly Writing and Publishing fund, a grant program designed to help faculty seeking professional editorial support for projects in development across a range of serious scholarly works, <u>including grantwriting</u>...

PhRMA Foundation Research Starter Grants: Health Outcomes and Translational Medicine

- **Deadline:** 2/1/17
- Amount: \$100,000 for one year

Research Starter Grants offer financial support to individuals beginning independent research careers at the faculty level...

More funding announcement highlights found at:

- <u>http://www.pedsresearch.org/bird</u>
- <u>http://medicine.emory.edu/research/internal-research-resources/funding-opportunities/external-research-funding.html</u>

Survey Drawing











NIH National Institutes of Health	Grants & Funding NIH's Central Resource for Grants and Funding Informat	ion			Entire Site	Search this Site eRA Glossary & Acronyms FAQs H
НОМЕ	ABOUT GRANTS	FUNDING	POLICY & COMPLIANCE	NEWS & EVENT	S	ABOUT OER
Home » About Grants » How to Apply - Application Guide How to Apply - Application Use the application forms and guidance found with t	he funding opportunity announcement together	with the instructions for the SF424 (R&R) and P for Healthcare Research and Quality.	ublic Health Service (PHS) forms below to submit grant ap	plications to NIH and other PHS ager		on the application guide? Dur New Application Guide
Prepare to Apply and Register Understand Key Systems and Roles Get Registered! Find and Understand Funding Opportunities Identify the Type of Application Submission Choose a Submission Option Obtain Software Form Instructions (forms are posted discussions)		isudget Budget Englishtenensb (tod	Submission Process Submit, Track and View Your Application Learn How We Check Your Application Submit Manged Interest A Application Submit Net Exception	tor Completeness	e Dates and Submissie Due Dates Submission Policies Guidelines for Applicants Ex	
Forms Version D	with the running opportunity announc	ement) –	Need help selecting the right ap	oplication instructions?	ter Submission Receipt and Referral Peer Review Pre-award Process Post-award Monitoring and	Reservice
Application Instructions General (G) Instructions Filtered views for your convenience:	Description Comprehensive guidance fo	r research, training, fellowship, career developm	ent, multi-project, and small business applications	View/Download HTML PDF	r oscaward Monitoning and	neponing
Research (R) Instructions	Guidance for research only			PDF		

NIH National Institutes of Health	Grants & Funding				Entire Site Search this Site
Office of Extramural Research	Nim's Central Resource for Grants and Funding Info	maton			eRA Glossary & Acronyms FAQs h
НОМЕ	ABOUT GRANTS	FUNDING	POLICY & COMPLIANCE	NEWS & EVENTS	ABOUT OER
Home » About Grants » How to Apply - Application Guide					
How to Apply - Applicat	tion Guide				Feedback on the application guide?
Use the application forms and guidance found with the Centers for Disease Control and Prevention, th			ic Health Service (PHS) forms below to submit grant ap	plications to NIH and other PHS agencies, s	Using Our New Application Guide
Prepare to Apply and Register	Format an	d Write	Submission Process	Due Date	es and Submission Policies
Understand Key Systems and Roles Get Registered! Find and Understand Funding Opportuniti Identify the Type of Application Submissio Choose a Submission Option Obtain Software Form Instructions (forms are poster)	es Develop es - Format A Refer to - Utilize Bi	Fable of Page Limits ssketch, Data Tables and Other Format Pages	Submit, Track and View Your Application How We Cleack Your Appl ation fi Sub 4 characterized costs in the re- sub 4 characterized costs in the re- sub 4 characterized costs in the re-	or Completeness K - Guide	iates Sak Policies line: on upplicant explicancing System Issues
			Need help selecting the right ap	- neces	ot and Referral
Forms Version D					vard Process
Application Instructions	Description			Post-a View/Download	ward Monitoring and Reporting
General (G) Instructions	Comprehensive guidant	e for research, training, fellowship, career developmen	t, multi-project, and small business applications	HTML PDF	
Filtered views for your convenience:					
Research (R) Instructions	Guidance for research o	nlv		PDF	

NIH National Institutes of Hea	Ith Grants & Funding NIH's Central Resource for Grants and Funding Inform	nation			Entire	Site Search this Site RA Glossary & Acronyms FAQs H
НОМЕ	ABOUT GRANTS	FUNDING	POLICY & COMPLIANCE	NEWS &		ABOUT OER
the Centers for Disease Control and Prevention		cy for Healthcare Research and Quality.	lic Health Service (PHS) forms below to submit grant app	plications to NIH and other I	PHS agencies, such as	Feedback on the application guide? Using Our New Application Guide ubmission Policies
Prepare to Apply and Register Understand Key Systems and Roles Get Registered! Find and Under and inding Opportur Identify the Types A allower Starting Choose a Submission of m Obtain Software	Write You Develop Y	Application	Submit, Track and View Your Application Learn How We Check Your Application for	or Completeness	Due Dates Submission Police	
Form Instructions (forms are post	ted with the funding opportunity annou	ncement)			After Submissio	n
Forms Version D			Need help selecting the right app	olication instructions?	Receipt and Refe Peer Review Pre-award Proces Post-award Mon	
Application Instructions	Description			View/Download		
General (G) Instructions Filtered views for your convenien		for research, training, fellowship, career developmen	it, multi-project, and small business applications	HTML PDF		
Research (R) Instructions	Guidance for research on	lv		PDF		

NAtional Institutes of Health	Grants & Funding NIH's Central Recource for Grante and Funding Infor	mation			Entire Site	Search this Site eRA Glossary & Acronyms FAQs H
НОМЕ	ABOUT GRANTS	FUNDING	POLICY & COMPLIANCE	NEWS & EVENTS		ABOUT OER
tome * About Grants * How to Apply - Application Studie HOWN to Apply - Applica Use the application forms and guidance found with the Centers for Disease Control and Prevention, th Prepare to Apply and Register Understand Key Systems and Roles Get Registered! Find and Understand Funding Opportuniti Identify the Type of Application Submission Choose a Submission Option Obtain Software	n the funding opportunity announcement toget the Food and Drug Administration, and the Agen Format and Write You Develop X on Format A Refer to T	cy for Healthcare Research and Quality. I Write r Application 'our Budget	ublic Health Service (PHS) forms below to submit grant app Submission Process Submit, Track and View Your Application Learn How We Check Your Application Submit a Changed/Corrected Application Submit a Reference Letter	Due Dat Due C r Completeness Subm	such as Using (tes and Submissi Dates ission Policies	on the application guide? Dur New Application Guide on Policies xperiencing System Issues
Form Instructions (forms are poster	d with the funding opportunity annou		Need help selecting the right app	lication instructions? Recei Peer I Pre-av	I bmission pt and Referral Review ward Process	
Application Instructions General (G) Instructions Filtered views for your convenience: Besearch (B) Instructions		e for research, training, fellowship, career developm	ber K-C	HTML PDF	award Monitoring and	Reporting

Today's Learning Objectives

- 1. Recognize the importance of planning a year in advance of the grant application deadline.
- 2. Identify key milestones to achieve during that time.
- 3. Learn successful strategies and best practices from funded junior faculty and seasoned mentors.



Today's K-Club Contributors

Panelists:

- Lou Ann Brown, PhD, Professor, Dept. of Pediatrics (Neo)
- Anita McElroy, MD, PhD, Assist Prof, Dept of Pediatrics (ID)
- Igho Ofotokun, MD, MSc, Professor, Dept of Medicine (ID)
- Kehmia Titanji, PhD, Assist Prof, Dept of Medicine (Endo)

Discussion Facilitator:

• **Stacy S. Heilman, PhD,** Emory University & Children's Healthcare of Atlanta

National Institutes of Health	Grants & Funding NIH's Central Resource for Grants and Funding Informatio	n			Entire Site	Search this Site eRA Glossary & Acronyms FAQs H
НОМЕ	ABOUT GRANTS	FUNDING	POLICY & COMPLIANCE	NEWS & EVE	INTS	ABOUT OER
me » About Grants » How to Apply - Application ide How to Apply - Application Jse the application forms and guidance found with t	he funding opportunity announcement together w	vith the instructions for the SF424 (R&R) and F r Healthcare Research and Quality.	Public Health Service (PHS) forms below to submit grant ap	plications to NIH and other PHS a		ack on the application guide? ng Our New Application Guide
Prepare to Apply and Register Understand Key Systems and Roles Get Registered! Hind and Understand Funding Opportunities Identify the Type of Application Submission Choose a Submission Option Obtain Software	Format and Wr • Write Your Ap • Develop Your Rotmat & Co • Rep. 12 • Bo • Co • Rep. 12 • Bo • Co •	plication	Submission Process Submit, Track and View Your Application Learn How We Check Your Application Submit changed in receive Application Submit Network etter		Due Dates and Submi Due Dates Submission Policies Guidelines for Applican	ssion Policies
Form Instructions (forms are posted	with the funding opportunity announce	ement)			After Submission	
Forms Version D	Description		Need help selecting the right ap	plication instructions? View/Download	Receipt and Referral Peer Review Pre-award Process Post-award Monitoring	and Reporting
General (G) Instructions Filtered views for your convenience:	Comprehensive guidance for	research, training, fellowship, career developn	nent, multi-project, and small business applications	HTML PDF		

- Ensure eligibility and preparedness
- Identify suitable funding opportunity
- Collect and publish preliminary data
- Establish track record and meaningful relationships with mentor/mentor team
- Aims development/study design
- Establish relationship with PO



Eligibility & Preparedness

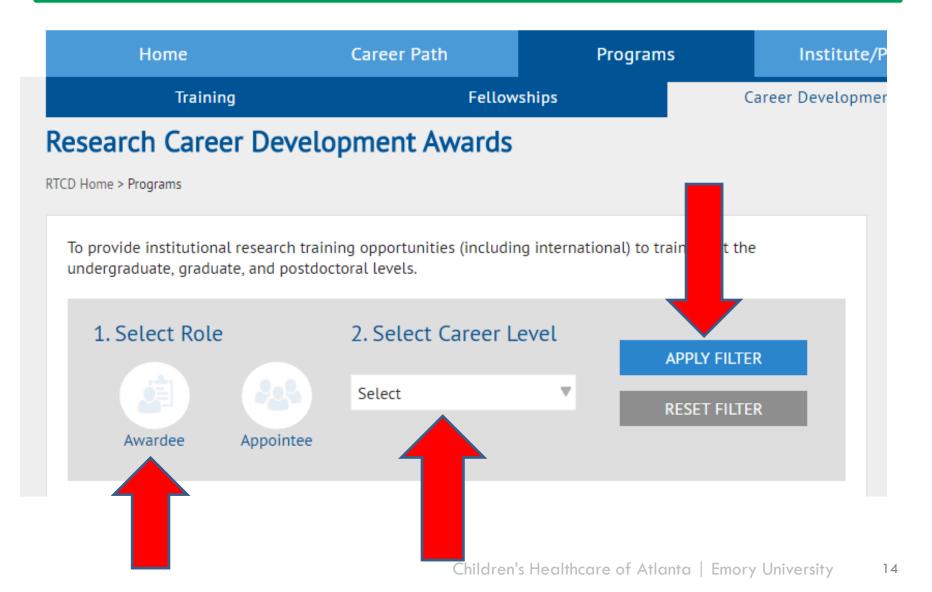
- Request eRA Commons credentials (Research Administration Services can facilitate)
- Select Funding Opportunity Announcement and ensure basic career stage criteria and citizenship status requirements are met



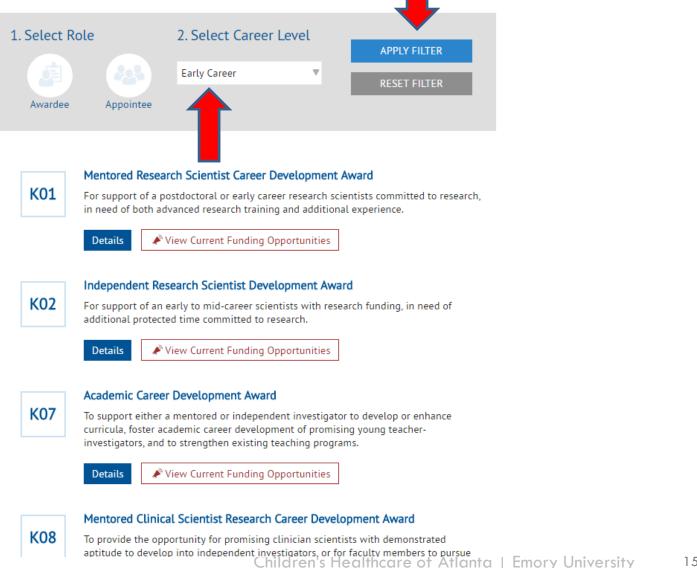
- Ensure eligibility and preparedness
- Identify suitable funding opportunity
- Collect and publish preliminary data
- Establish track record and meaningful relationships with mentor/mentor team
- Aims development/Study design
- Establish relationship with PO



Finding The Right FOA (Funding Opportunity Announcement)



Finding The Right FOA (continued)



15

Finding The Right FOA (continued)

K99/ R00

Pathway to Independence Award

Program Purpose

The purpose of this program is to increase a supported, independent investigators. This outstanding postdoctoral researchers or clin independent, tenure-track or equivalent support during the transition that will help careers. htain a strong cohort of new and talented, NIHis designed to facilitate a timely transition of cientists from mentored research positions to forms, and to provide independent NIH research dividuals launch competitive, independent research



Diving into the Finer Print





Mentored Clinical Scientist Research Career Development Award

To provide the opportunity for promising clinician scientists with demonstrated aptitude to develop into independent investigators, or for faculty members to pursue research, and aid in filling the academic faculty gap in health profession's institutions.



Agency-Specific Eligibility Requirements

Mentored Clinical Scientist Research Career Development Award (Parent K08) (PA-16-191) PA-16-191

Table of IC-Specific Information, Requirements and Staff Contacts

Release Date: April 15, 2016 Expiration Date: January 8, 2018

Eunice Kennedy Shriver National Institute of	NICHD Specific Information:
Child Health and Human Development (NICHD)	
	NICHD offers fellowships and career development awards in research areas relevant to the institute's
Scientific Program Contact:	scientific objectives In pursuit of these goals, NICHD supports a broad spectrum of research on More
Dennis A. Twombly, Ph.D.	detailed information can be found at: http://www.nichd.nih.gov/research/by-nichd/pages/index.aspx.
Phone: 301-451-3371	
Email: dtwombly@mail.nih.gov	NICHD has a 6 year limit of cumulative support on institutional K awards (e.g., K12, KL2) and individual
	mentored K awards (e.g., K01, K08, K23, K25).
Grants Management Contact:	
Bryan S. Clark, MBA	Salary Support: Up to \$100,000 plus fringe benefits per year.
Phone: (301) 435-6975	Research Support: Up to \$25,000 per year.
Email: clarkb1@mail.nih.gov	

Eligibility & Preparedness

Important consideration for physician scientists

Securing 75% protected research time

- Must secure Dept/Division support for protected research time (i.e. release from clinical effort) and commitment for cost share if budget doesn't provide adequate funds
- Panelist input?



- Ensure eligibility and preparedness
- Identify suitable funding opportunity
- Collect and publish preliminary data
- Establish track record and meaningful relationships with mentor/mentor team
- Aims development/Study design
- Establish relationship with PO



The Importance Of Preliminary Data

Panelist Input:

- Should preliminary results be published before the grant is submitted?
- How many first author publications make an applicant competitive for a K award?
- Do second author publications count for anything?
- While it may be ideal to have publications with your mentor/mentor team, what if you don't? Are there other activities that can be highlighted within the application to compensate?



- Ensure eligibility and preparedness
- Identify suitable funding opportunity
- Collect and publish preliminary data
- Establish track record and meaningful relationships with mentor/mentor team
- Aims development/Study design
- Establish relationship with PO





- Help define training goals at the outset and evaluate at regular intervals throughout training
- Meet regularly, one-on-one, to discuss progress towards goals
- Listen and discuss ideas
- Provide constructive and timely feedback on scientific work
- Support growth through encouraging training opportunities and professional development
- Facilitate networking
- Acknowledge research contributions (ex through authorship on publications)

Establishing Meaningful Relationship with your Mentors

Panelist Input:

- How did you meet your mentor/mentees?
- How many mentors do you have and how do their strengths complement each other?
- What are some positive examples of building good mentor/mentee relationships?
- What is the optimal meeting frequency with both the primary mentor and mentor team?
- Can a mentee expect a mentor to review the entire K application before submission? What about the rest of the mentor team? How much advanced notice should be given?

- Ensure eligibility and preparedness
- Identify suitable funding opportunity
- Collect and publish preliminary data
- Establish track record and meaningful relationships with mentor/mentor team
- Aims development/Study design
- Establish relationship with PO



Specific Aims and Study Design

Panelist Input:

- What kinds of resources and input are necessary and useful when writing your Specific Aims and designing the corresponding studies?
- How involved can/should the mentee ask the mentor to be when developing Specific Aims? Can the entire mentor team get involved in this activity and if so, what is a productive format?

- Ensure eligibility and preparedness
- Identify suitable funding opportunity
- Collect and publish preliminary data
- Establish track record and meaningful relationships with mentor/mentor team
- Aims development/Study design
- Establish relationship with PO



Establishing a Relationship with the PO

- Confirm that the NIH Institute/Center (IC) you have identified supports research in your area
- Ask about special research priorities
- Confirm your eligibility and competitiveness
- Request guidance and input on proper IC or study section assignment



Establishing a Relationship with the PO

Panelist Input:

- What are your experiences with PO's? Have they been helpful and if so, which what types of input?
- Can PO's be asked to comment on Specific Aims?
- Will PO's reliably point you to other agencies or funding opportunities if they aren't interested?
- How helpful are they in talking through study section assignment?
- What do you know about selective pay and has it ever worked in your favor?

Wrap Up and Final Questions

